



# CERTIFICATE

This is to certify that

**ADRIANO DE SOUZA LIMA**

Has successfully completed the following training conducted by QMS Academy:

**LEAD AUDITOR ISO/IEC 42001:2023**

**ARTIFICIAL INTELLIGENCE MANAGEMENT SYSTEM**



  
NEIFER FRANÇA  
Director

Student: ADRIANO DE SOUZA LIMA

Registration: 0009083



Training: Lead Auditor ISO/IEC 42001:2023 - Artificial Intelligence Management System

Training Date: 12/02/2025 - 08/03/2025

Total Hours: 42 horas

Final Exam. 8.67

### Topics Addressed

ISO 19011:2018 - Guidelines for Auditing Management Systems  
Introduction and auditing principles  
Audit program management  
Findings and interview techniques  
Competence and evaluation of auditors

ABNT NBR ISO/IEC 17021:2016 - Conformity Assessment  
Certification vs. Accreditation  
International Conformity Assessment System  
Auditor competence  
Determination of audit objectives, scope, and criteria  
Conducting audits and communication during the audit  
Obtaining and verifying information  
Identification and recording of audit findings  
Preparation of audit conclusions  
Closing meeting and conclusions  
Audit Report and certification decision

Introduction to ISO/IEC 42001 – Artificial Intelligence Management System  
Introduction and fundamentals of AI  
Information sources on AI development  
Data governance  
Regulators in the AI field  
AI Risk Management  
Basic terminology  
Related ISO standards  
Overview of ISO/IEC 42001

Auditing ISO/IEC 42001 – Artificial Intelligence Management System  
Introduction to ISO/IEC 42001 – Artificial Intelligence Management System  
Auditing the Organization's Context in ISO/IEC 42001  
Auditing Leadership in ISO/IEC 42001  
Auditing Planning in ISO/IEC 42001  
Auditing Support in ISO/IEC 42001  
Auditing Operation in ISO/IEC 42001  
Auditing Performance Evaluation in ISO/IEC 42001  
Auditing Improvement in ISO/IEC 42001  
Auditing Annex A of ISO/IEC 42001 – Control Objectives and Controls  
Case Study