



# CERTIFICATE

This is to certify that

**RODRIGO FELIPE ESPIUCA**

Has successfully completed the following training conducted by QMS Academy:

**LEAD AUDITOR ISO 37001:2016  
ANTI-BRIBERY MANAGEMENT SYSTEM**



  
NEIFER FRANÇA  
Director

Student: RODRIGO FELIPE ESPIUCA

Registration: 0008665



Training: Lead Auditor ISO 37001:2016 - Anti-Bribery Management System

Training Date: 03/08/2023 - 15/01/2025

Total Hours: 42 horas

Final Exam. 8.00

### Topics Addressed

ISO 19011:2018 - Guidelines for Auditing Management Systems  
Introduction and auditing principles  
Audit program management  
Findings and interview techniques  
Competence and evaluation of auditors

ABNT NBR ISO/IEC 17021:2016 - Conformity Assessment  
Certification vs. Accreditation  
International Conformity Assessment System  
Auditor competence  
Determination of audit objectives, scope, and criteria  
Conducting audits and communication during the audit  
Obtaining and verifying information  
Identification and recording of audit findings  
Preparation of audit conclusions  
Closing meeting and conclusions  
Audit Report and certification decision

Introduction to ISO 37001:2016 - Anti-Bribery Management System  
Concepts of bribery and legislation  
International Anti-Bribery/Anti-Corruption Pacts  
ISO 37001 – Anti-Bribery Management Systems  
ISO 37001 and its requirements structure  
Terms and Definitions

Auditing ISO 37001:2016 - Anti-Bribery Management System  
Audit case study for each section of the Standard  
Auditing the organization's context and the anti-bribery management system  
Auditing leadership and anti-bribery policy  
Auditing the management and planning of the anti-bribery management system  
Auditing support and resources in anti-bribery management  
Auditing the operation of the anti-bribery management system  
Auditing the performance of anti-bribery management  
Auditing the improvement of the anti-bribery management system