

GABRIELA SALGUEIRO

**LEAD AUDITOR ISO 37301:2021
COMPLIANCE MANAGEMENT SYSTEMS**

Student: GABRIELA SALGUEIRO

Registration: 0007352

Training: Lead Auditor ISO 37301:2021 - Compliance Management Systems

Training Date: 15/03/2024 - 22/08/2024

Total Hours: 42 hours

Final Exam: 8.67

Topics Addressed

ISO 19011:2018 - Guidelines for Auditing Management Systems
 Introduction and auditing principles
 Audit program management
 Findings and interview techniques
 Competence and evaluation of auditors

ABNT NBR ISO/IEC 17021:2016 - Conformity Assessment
 Certification vs. Accreditation
 International Conformity Assessment System
 Auditor competence
 Determination of audit objectives, scope, and criteria
 Conducting audits and communication during the audit
 Obtaining and verifying information
 Identification and recording of audit findings
 Preparation of audit conclusions
 Closing meeting and conclusions
 Audit Report and certification decision

Introduction to ISO 37301:2021 - Compliance Management System
 Contextualization of ISO 37301
 Compliance Management System and ISO 37301
 ISO 37301 vs. ISO 37001
 ISO 37301 and its requirements structure
 Terms and Definitions
 ISO 17021-13 Competence for compliance auditors

Auditing ISO 37301:2021 - Compliance Management System
 Audit case study for each section of the Standard
 Auditing the organizations context and compliance management system
 Auditing leadership and compliance policy
 Auditing the management and planning of the compliance management system
 Auditing support and resources in compliance management
 Auditing the operation of the compliance management system
 Auditing the performance of compliance management
 Auditing the improvement of the compliance management system